

**FORM NO. MGT 9**  
**EXTRACT OF ANNUAL RETURN**  
As on financial year ended on 31.03.2019

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:		
1	CIN	U67200DL2002PLC117625
2	Registration Date	11-November-2002
3	Name of the Company	Bajaj Capital Insurance Broking Limited
4	Category/Sub-category of the Company	Public company limited by shares Indian Non- Government Company
5	Address of the Registered office & contact details	Bajaj House 97 Nehru Place, New Delhi-110019
6	Whether listed company	No
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	RCMC Share Registry Private Limited, B-25/1, Okhla Industrial Area Phase II, New Delhi -110020

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY			
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(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Insurance Broking	66220	97.51

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES					
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SN	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	N.A.	N.A.	N.A.	N.A.	N.A.

IV. SHARE HOLDING PATTERN									
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(Equity share capital breakup as percentage of total equity)

**(i) Category-wise Share Holding**

Category of Shareholders	No. of Shares held at the beginning of the year [As on 31-March-2018]				No. of Shares held at the end of the year [As on 31-March-2019]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoters</b>									
<b>(1) Indian</b>									
a) Individual/	-	24,98,500	24,98,500	99.94%	-	24,98,500	24,98,500	99.94%	0.00%
b) Central Govt	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) State Govt(s)	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) Bodies Corp.	-	-	-	0.00%	-	-	-	0.00%	0.00%
e) Banks / FI	-	-	-	0.00%	-	-	-	0.00%	0.00%
f) Any other	-	-	-	0.00%	-	-	-	0.00%	0.00%
<b>Sub Total (A) (1)</b>	-	24,98,500	24,98,500	99.94%	-	24,98,500	24,98,500	99.94%	0.00%
<b>(2) Foreign</b>									
a) NRI Individuals	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Other	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) Bodies Corp.	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) Any other	-	-	-	0.00%	-	-	-	0.00%	0.00%
<b>Sub Total (A) (2)</b>	-	-	-	0.00%	-	-	-	0.00%	0.00%
<b>TOTAL (A)</b>	-	24,98,500	24,98,500	99.94%	-	24,98,500	24,98,500	99.94%	0.00%

<b>B. Public</b>									
<b>1. Institutions</b>									
a) Mutual Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Banks / FI	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) Central Govt	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) State Govt(s)	-	-	-	0.00%	-	-	-	0.00%	0.00%
e) Venture Capital Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
f) Insurance	-	-	-	0.00%	-	-	-	0.00%	0.00%
g) FIIs	-	-	-	0.00%	-	-	-	0.00%	0.00%
h) Foreign Venture Capital	-	-	-	0.00%	-	-	-	0.00%	0.00%
i) Others (specify)	-	-	-	0.00%	-	-	-	0.00%	0.00%
<b>Sub-total (B)(1):-</b>	-	-	-	0.00%	-	-	-	0.00%	0.00%
<b>2. Non-</b>									
a) Bodies Corp.									
i) Indian	-	-	-	0.00%	-	-	-	0.00%	0.00%
ii) Overseas	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Individuals									
i) Individual shareholders holding nominal share capital upto	-	1,500	1,500	0.06%	0	1500	1,500	0.06%	0.00%
ii) Individual shareholders holding nominal share capital in	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) Others (specify)									
Non Resident	-	-	-	0.00%	-	-	-	0.00%	0.00%
Overseas Corporate Bodies	-	-	-	0.00%	-	-	-	0.00%	0.00%
Foreign Nationals	-	-	-	0.00%	-	-	-	0.00%	0.00%
Clearing Members	-	-	-	0.00%	-	-	-	0.00%	0.00%
Trusts	-	-	-	0.00%	-	-	-	0.00%	0.00%
Foreign Bodies - D	-	-	-	0.00%	-	-	-	0.00%	0.00%
<b>Sub-total (B)(2):-</b>	-	1,500	1,500	0.06%	-	1,500	1,500	0.06%	0.00%
<b>Total Public (B)</b>	-	1,500	1,500	0.06%	-	1,500	1,500	0.06%	0.00%
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>	-	-	-	0.00%	-	-	-	0.00%	0.00%
<b>Grand Total</b>	-	25,00,000	25,00,000	100.00%	-	25,00,000	25,00,000	100.00%	0.00%

**(ii) Shareholding of Promoter**

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Mr. Kuldeep Kumar Bajaj	8,23,000	32.92%	0	8,23,000	32.92%	0	0.00%
2	Mr. Rajiv Bajaj	6,50,000	26.00%	0	6,50,000	26.00%	0	0.00%
3	Mr. Sanjiv Bajaj	6,50,500	26.02%	0	6,50,500	26.02%	0	0.00%
4	Mrs. Sanyokta Bajaj	3,75,000	15.00%	0	3,75,000	15.00%	0	0.00%

**(iii) Change in Promoters' Shareholding (please specify, if there is no change)****NO CHANGE**

SN	Particulars	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
	At the beginning of the year	01-Apr-18		0	0.00%	0	0.00%
	Changes during the year	NA		0	0.00%	0	0.00%
	At the end of the year	31-Mar-19		0	0.00%	0	0.00%

**(iv) Shareholding Pattern of top ten Shareholders***(Other than Directors, Promoters and Holders of GDRs and ADRs):*

SN	For each of the Top 10 shareholders	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Name: Mrs. Rachna						
	At the beginning of the year	01-Apr-18		500	0.02%	500	0.02%
	Changes during the year	NA		-	0.00%	500	0.02%
	At the end of the year	31-Mar-19		500	0.02%	500	0.02%

**(v) Shareholding of Directors and Key Managerial Personnel:**

SN	Shareholding of each Directors and each Key Managerial Personnel	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Name: Mr. Sanjiv Bajaj						
	At the beginning of the year	01-Apr-18		6,50,500	26.02%	6,50,500	26.02%
	Changes during the year	NA		-	0.00%	6,50,500	26.02%
	At the end of the year	31-Mar-19		6,50,500	26.02%	6,50,500	26.02%
2	Name: Mr. Anil Kumar Chopra						
	At the beginning of the year	01-Apr-18		500	0.02%	500	0.02%
	Changes during the year	NA		-	0.00%	500	0.02%
	At the end of the year	31-Mar-19		500	0.02%	500	0.02%
3	Name: Mr. Vinod Sahgal						
	At the beginning of the year	01-Apr-18		500	0.02%	500	0.02%
	Changes during the year	NA		-	0.00%	500	0.02%
	At the end of the year	31-Mar-19		500	0.02%	500	0.02%

**V. INDEBTEDNESS**

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	1,64,86,999	-	-	1,64,86,999
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
<b>Total (i+ii+iii)</b>	<b>1,64,86,999</b>	<b>-</b>	<b>-</b>	<b>1,64,86,999</b>
<b>Change in Indebtedness during the financial year</b>				
* Addition		1,33,17,642	-	1,33,17,642
* Reduction	(40,53,448)	-	-	(40,53,448)
Net Change	(40,53,448)	1,33,17,642	-	92,64,194
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	1,24,33,551	1,33,17,642	-	2,57,51,193
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
<b>Total (i+ii+iii)</b>	<b>1,24,33,551</b>	<b>1,33,17,642</b>	<b>-</b>	<b>2,57,51,193</b>

**VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**
**A. Remuneration to Managing Director, Whole-time Directors and/or Manager:**

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount (Rs/Lac)
		Name	Mr. Vinod Sahgal	
	Designation	Managing Director	WTD	
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	7,21,752	16,07,342	23,29,094
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- as % of profit	-	-	-
	- others, specify	-	-	-
5	Others, please specify	-	-	-
	Total (A)	7,21,752	16,07,342	23,29,094
	Ceiling as per the Act	3,26,28,214	3,26,28,214	3,26,28,214

**B. Remuneration to other Directors**

SN.	Particulars of Remuneration	Name of Directors		Total Amount (Rs/Lac)
		N.A.	N.A.	
1	Independent Directors	N.A.	N.A.	
	Fee for attending board committee	-	-	-
	Commission	-	-	-
	Others, please specify	-	-	-
	Total (1)	-	-	-
2	Other Non-Executive Directors	Mr. Sanjiv Bajaj	Mr. Anil Kumar Chopra	
	Fee for attending board meetings	8,000	11,000	19,000
	Commission	-	-	-
	Others, please specify	-	-	-
	Total (2)	8,000	11,000	19,000
	Total (B)=(1+2)	8,000	11,000	19,000
	Total Managerial Remuneration			23,48,094
	Overall Ceiling as per the Act	11,00,000	11,00,000	3,48,28,214

**C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD: N.A.**

SN.	Particulars of Remuneration	Name of Key Managerial Personnel			Total Amount (Rs/Lac)
		CEO	CFO	CS	
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax				-
	(b) Value of perquisites u/s 17(2)				-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961				-
2	Stock Option				-
3	Sweat Equity				-
4	Commission				-
	- as % of profit				-
	- others, specify				-
5	Others, please specify				-
	Total	-	-	-	-

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:					NONE
Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
<b>A. COMPANY</b>					
Penalty					
Punishment					
Compounding					
<b>B. DIRECTORS</b>					
Penalty					
Punishment					
Compounding					
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty					
Punishment					
Compounding					

For and on behalf of the

Sd/-  
Anil Kumar Chopra  
Director  
DIN:00011602

Sd/-  
Vinod Sahgal  
Principal Officer & Managing Director  
DIN:00009826

Place: New Delhi  
Date: September 6, 2019